

"Express Mail" mailing label number
EV 326 041 513 US

JC03 Rec'd PCT/PTO 31 MAR 2009

Date of Deposit

3/31/05

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0657-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NO.

10808/228

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

10/529990

INTERNATIONAL APPLICATION NO.

PCT/DE2003/003355

INTERNATIONAL FILING DATE

October 10, 2003

PRIORITY DATE CLAIMED

October 18, 2002

TITLE OF INVENTION

INTEGRATED CIRCUIT ARRANGEMENT CAPACITOR AND FABRICATION METHOD

APPLICANT(S) FOR DO/EO/US

Ralf Brederlow et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

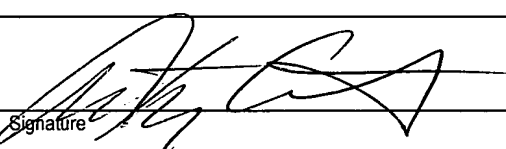
1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)). **(TAB A)**
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English translation of the International Application into English (35 U.S.C. 371(c)(2)). **(TAB B)**
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ A Combined oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) and Power of Attorney. **(TAB E)**
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)) and/or amendments under Article 34.

Items 11 to 20 Below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. **(TAB D)**
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment. **(TAB C)**
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return Post card.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 12 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JC17 Rec'd PCT/PTO 3 1 MAR 2005

U.S. APPLICATION NO. 10/529990		INTERNATIONAL APPLICATION NO. PCT/DE2003/003355		ATTORNEY'S DOCKET NO. 10808/228																																																																															
21. <input type="checkbox"/> The following fees are submitted: <input type="checkbox"/> a) Basic national fee.....\$300.00 <input type="checkbox"/> b) Examination fee.....\$200.00 <input type="checkbox"/> c) Search Fee.....\$500.00 TOTAL OF ABOVE CALCULATIONS \$1000 <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				CALCULATIONS	PTO USE ONLY																																																																														
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:20%;">Claims</th> <th style="width:20%;">Number Filed</th> <th style="width:20%;">Number Extra</th> <th style="width:20%;">Rate</th> <th style="width:20%;"></th> <th style="width:20%;"></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>18- 20 =</td> <td>0</td> <td>x \$ 50.00</td> <td></td> <td>\$0.00</td> </tr> <tr> <td>Independent Claims</td> <td>2- 3 =</td> <td>0</td> <td>x \$ 200.00</td> <td></td> <td>\$0.00</td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) if Applicable)</td> <td>+ \$360.00</td> <td></td> <td>\$0.00</td> </tr> <tr> <td colspan="5" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$</td> </tr> <tr> <td colspan="5"> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. </td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL =</td> <td>\$</td> </tr> <tr> <td colspan="5">Processing fee of \$130.00 for furnishing the English translation later than the <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>\$</td> </tr> <tr> <td colspan="5" style="text-align: right;">TOTAL NATIONAL FEE=</td> <td>\$1000</td> </tr> <tr> <td colspan="5"> Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per property + </td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">TOTAL FEES ENCLOSED=</td> <td>\$1000</td> </tr> <tr> <td colspan="5"></td> <td>Amount to be refunded \$</td> </tr> <tr> <td colspan="5"></td> <td>charged \$</td> </tr> </tbody> </table>				Claims	Number Filed	Number Extra	Rate			Total Claims	18- 20 =	0	x \$ 50.00		\$0.00	Independent Claims	2- 3 =	0	x \$ 200.00		\$0.00	Multiple dependent claim(s) if Applicable)			+ \$360.00		\$0.00	TOTAL OF ABOVE CALCULATIONS =					\$	<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.						SUBTOTAL =					\$	Processing fee of \$130.00 for furnishing the English translation later than the <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	TOTAL NATIONAL FEE=					\$1000	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per property +						TOTAL FEES ENCLOSED=					\$1000						Amount to be refunded \$						charged \$		
Claims	Number Filed	Number Extra	Rate																																																																																
Total Claims	18- 20 =	0	x \$ 50.00		\$0.00																																																																														
Independent Claims	2- 3 =	0	x \$ 200.00		\$0.00																																																																														
Multiple dependent claim(s) if Applicable)			+ \$360.00		\$0.00																																																																														
TOTAL OF ABOVE CALCULATIONS =					\$																																																																														
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.																																																																																			
SUBTOTAL =					\$																																																																														
Processing fee of \$130.00 for furnishing the English translation later than the <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$																																																																														
TOTAL NATIONAL FEE=					\$1000																																																																														
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per property +																																																																																			
TOTAL FEES ENCLOSED=					\$1000																																																																														
					Amount to be refunded \$																																																																														
					charged \$																																																																														
a. <input checked="" type="checkbox"/> A check in the amount of \$1000 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 23-1925 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																																																																			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																																																																																			
Send All Correspondence to: Brinks Hofer Gilson & Lione P.O. Box 10395 Chicago, IL 60610			<div style="border: 1px solid black; padding: 5px;">  Signature </div> <div style="border: 1px solid black; padding: 5px;"> Name Anthony P. Curtis, Ph.D. Registration Number 46,193 </div>																																																																																

00/529990

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC17 Rec'd PCT/PTO 31 MAR 2005

Application No. :

U.S. National Serial No. :

Filed :

PCT International Application No. : PCT/DE03/03355

VERIFICATION OF A TRANSLATION

I, the below named translator, hereby declare that:

My name and post office address are as stated below;

That I am knowledgeable in the German language in which the below identified international application was filed, and that, to the best of my knowledge and belief, the English translation of the international application No. PCT/DE03/03355 is a true and complete translation of the above identified international application as filed.

I hereby declare that all the statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent application issued thereon.

Date: February 22, 2005



Full name of the translator :

Michael Amos WHITTAKER

For and on behalf of RWS Group Ltd

Post Office Address :

Europa House, Marsham Way,
Gerrards Cross, Buckinghamshire,
England.